National Mutual Acceptance (NMA) Ethics and Governance Matrix

OFFICIAL

Arrangements for ethics and research governance/Site Specific Assessment (SSA) applications across NMA jurisdictions are described in the following **NMA Ethics and Governance Matrix** table.

NMA jurisdictions

Australian Capital Territory (ACT)

New South Wales (NSW)

Northern Territory (NT)

Queensland (Qld)

South Australia (SA)

Tasmania (Tas)

Victoria (Vic)

Western Australia (WA)

Location of reviewing Human Research Ethics Committee (HREC)	Location of Site	Arrangements for Governance / Site Specific Assessment (SSA)
Qld or Vic	Qld or Vic	The CPI / delegate uses <u>ERM</u> to complete the HREA for the ethics submission
		From the HREA in ERM , the CPI / delegate creates a SSA for each site in Vic &/or Qld
		From the SSA, the CPI/delegate uses Roles + function to enable the site PI access to the form
		The site PI uses ERM to complete the SSA, upload site documents, electronically authorise the form (e-signature) and submit to the site Research Governance Officer (RGO)
Qld or Vic	NSW or ACT	The CPI / delegate uses <u>ERM</u> to complete the HREA for the ethics submission
		For governance applications, the lead site / CPI uses <u>REGIS</u> to create a '+ New Project' then completes the 'Project Registration' section
		The CPI must be listed in the Project Registration and will need a REGIS account. Project Registration is completed once only per study
		NSW Health sites are entered under Part C – Research site(s)
		Copies of HREA & ethics documents are uploaded in Part F. The lead site/CPI submits 'Project Registration'
		SSAs or site application forms for sites are automatically generated in REGIS after project registration
		The NSW PI completes the SSA in REGIS and submits to their site RGO
		More information on ACT SSA arrangements at <u>ACT Health</u>
		More information on REGIS: REGIS How-To Guides
Qld or Vic	SA	The CPI / delegate uses <u>ERM</u> to complete the HREA for the ethics submission
		The CPI / delegate uses Research GEMS to complete Site Specific Assessment (SSA) / governance applications for SA
Qld or Vic	WA	The CPI / delegate uses <u>ERM</u> to complete the HREA for the ethics submission

Location of reviewing Human Research Ethics Committee (HREC)	Location of Site	Arrangements for Governance / Site Specific Assessment (SSA)
		Consult the WA Research Governance Service (RGS) website for information on how to create a research governance application
Qld or Vic	NT and Tas	The CPI / delegate uses <u>ERM</u> to complete the HREA for the ethics submission
		Consult the <u>NT Health</u> website for governance applications in NT
		Consult the <u>Tasmanian Research Governance Unit</u> website for governance applications in Tas
NSW or ACT	NSW or ACT	The lead site / CPI uses <u>REGIS</u> to create a '+ New Project' then completes 'Project Registration'
		The CPI/PIs must be listed in the Project Registration & will need a REGIS account. Project Registration is completed once only per study
		In REGIS , the CPI completes & submits the HREA for ethics submission
		SSAs or site application forms for NSW sites are automatically generated in REGIS after the ethics application has been submitted
		The NSW PI/delegate completes the SSA in REGIS and the PI submits to their site RGO
		More information on ACT SSA arrangements at <u>ACT Health</u>
		More information on REGIS: <u>REGIS How-To Guides</u>
NSW or ACT	Qld or Vic	The lead site/CPI uses REGIS to complete Project Registration and HREA for ethics submission. See <u>REGIS</u> <u>How-To Guides</u>
		To generate a SSA for Vic or Qld, the CPI / delegate uses <u>ERM</u> to create a Minimal Data Form (MDF), upload the HREA & supporting documents
		From the ERM MDF, the CPI / delegate creates SSAs for sites in Vic or Qld
		From the SSA, the CPI/delegate uses Roles + function to enable the site PI access to the form

Location of reviewing Human Research Ethics Committee (HREC)	Location of Site	Arrangements for Governance / Site Specific Assessment (SSA)
		The site PI uses ERM to complete the SSA, upload site documents, electronically authorise the form (e-signature) & submit to the site RGO
NSW or ACT	SA	The lead site/CPI uses REGIS to complete Project Registration and HREA for ethics submission. See <u>REGIS</u> <u>How-To Guides</u>
		The CPI / delegate uses <u>Research GEMS</u> to complete Site Specific Assessment (SSA) / governance applications for SA
NSW or ACT	WA	The lead site/CPI uses REGIS to complete Project Registration and HREA for ethics submission. See <u>REGIS</u> <u>How-To Guides</u>
		Consult the WA Research Governance Service (RGS) website for information on how to create a research governance application
NSW or ACT	NT and Tas	The lead site/CPI uses REGIS to complete Project Registration and HREA for ethics submission. See <u>REGIS</u> <u>How-To Guides</u>
		Consult the <u>NT Health</u> website for governance applications in NT
		Consult the <u>Tasmanian Research Governance Unit</u> website for governance applications in Tas
SA	Qld or Vic	The CPI uses <u>Research GEMS</u> to complete the HREA for ethics submission
		To generate a SSA for Vic or Qld, the CPI / delegate uses <u>ERM</u> to create a Minimal Data Form (MDF), upload the HREA & supporting documents
		From the ERM MDF, the CPI / delegate creates SSAs for sites in Vic or Qld.
		From the SSA, the CPI/delegate uses Roles + function to enable the site PI access to the form
		The site PI uses ERM to complete the SSA, upload site documents, electronically authorise the form (e-signature) & submit it to the site RGO
SA	NSW or ACT	The CPI uses <u>Research GEMS</u> to complete the HREA for ethics submission

Location of reviewing Human Research Ethics Committee (HREC)	Location of Site	Arrangements for Governance / Site Specific Assessment (SSA)
		For governance applications, the lead site / CPI uses REGIS to create a '+ New Project' then completes the 'Project Registration' section
		The CPI must be listed in the Project Registration and will need a REGIS account. Project Registration is completed once only per study
		NSW Health sites are entered under Part C – Research site(s)
		Copies of HREA & ethics documents are uploaded in Part F. The lead site/CPI submits 'Project Registration'
		SSAs or site application forms for sites are automatically generated in REGIS after project registration
		The NSW PI completes the SSA in REGIS and submits to their site RGO
		More information on ACT SSA arrangements at <u>ACT Health</u>
		More information on REGIS: REGIS How-To Guides
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SA	WA	The CPI uses <u>Research GEMS</u> to complete the HREA for ethics submission
		Consult the WA Research Governance Service (RGS) website for information on how to create a research governance application
SA	NT and Tas	The CPI uses <u>Research GEMS</u> to complete the HREA for ethics submission
		Consult the <u>NT Health</u> website for governance applications in NT
		Consult the <u>Tasmanian Research Governance Unit</u> website for governance applications in Tas
WA	Qld or Vic	The CPI completes the HREA via the <u>NHMRC Portal</u> and uses <u>Research Governance Service (RGS)</u> to upload HREA for ethics submission
		To generate a SSA for Vic or Qld, the CPI / delegate uses <u>ERM</u> to create a Minimal Data Form (MDF), upload HREA & supporting documents

Location of reviewing Human Research Ethics Committee (HREC)	Location of Site	Arrangements for Governance / Site Specific Assessment (SSA)
		From the ERM MDF, the CPI / delegate creates SSAs for sites in Vic or Qld
		From the SSA, the CPI/delegate uses Roles + function to enable the site PI access to the form
		The site PI uses ERM to complete the SSA, upload site documents, electronically authorise the form (e-signature) & submit it to the site RGO.
WA	NSW or ACT	The CPI completes the HREA via the <u>NHMRC Portal</u> and uses <u>Research Governance Service (RGS)</u> to upload HREA for ethics submission
		For governance applications, the lead site / CPI uses <u>REGIS</u> to create a '+ New Project' then completes the 'Project Registration' section
		The CPI must be listed in the Project Registration and will need a REGIS account. Project Registration is completed once only per study
		NSW Health sites are entered under Part C – Research site(s)
		Copies of HREA & ethics documents are uploaded in Part F. The lead site/CPI submits 'Project Registration'
		SSAs or site application forms for sites are automatically generated in REGIS after project registration
		The NSW PI completes the SSA in REGIS and submits to their site RGO
		More information on ACT SSA arrangements at <u>ACT Health</u>
		More information on REGIS: REGIS How-To Guides
WA	SA	The CPI completes the HREA via the <u>NHMRC Portal</u> and uses <u>Research Governance Service (RGS)</u> to upload HREA for ethics submission
		The CPI / delegate uses Research GEMS to complete Site Specific Assessment (SSA) / governance applications for SA
WA	NT and Tas	The CPI completes the HREA via the <u>NHMRC Portal</u> and uses <u>Research Governance Service (RGS)</u> to upload HREA for ethics submission

Location of reviewing Human Research Ethics Committee (HREC)	Location of Site	Arrangements for Governance / Site Specific Assessment (SSA)
		 Consult the <u>NT Health</u> website for governance applications in NT Consult the <u>Tasmanian Research Governance Unit</u> website
		for governance applications in Tas
NT	Qld or Vic	Consult the <u>NT Health</u> website for information on how to create an ethics application
		To generate a SSA for Vic or Qld, the CPI / delegate uses <u>ERM</u> to create a Minimal Data Form (MDF), upload the HREA & supporting documents
		From the ERM MDF, the CPI / delegate creates SSAs for sites in Vic or Qld
		From the SSA, the CPI/delegate uses Roles + function to enable the site PI access to the form
		The site PI uses ERM to complete the SSA, upload site documents, electronically authorise the form (e-signature) & submit to the site RGO
NT	NSW of ACT	Consult the <u>NT Health</u> website for information on how to create an ethics application
		For governance applications, the lead site / CPI uses <u>REGIS</u> to create a '+ New Project' then completes the 'Project Registration' section
		The CPI must be listed in the Project Registration and will need a REGIS account. Project Registration is completed once only per study
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		More information on ACT SSA arrangements at <u>ACT Health</u>
		More information on REGIS: <u>REGIS How-To Guides</u>

Location of reviewing Human Research Ethics Committee (HREC)	Location of Site	Arrangements for Governance / Site Specific Assessment (SSA)
NT	SA	Consult the <u>NT Health</u> website for information on how to create an ethics application
		The CPI / delegate uses Research GEMS to complete Site Specific Assessment (SSA) / governance applications for SA
NT	Tas and WA	Consult the <u>NT Health</u> website for information on how to create an ethics application
		Consult the <u>Tasmanian Research Governance Unit</u> website for governance applications in Tas
		Consult the WA Research Governance Service (RGS) website for information on how to create a research governance application

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